



# EU concept of Monitoring and Evaluation for rural development policy 2014-2020

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## Content

- Common provisions on Monitoring and Evaluation
- Common Monitoring and Evaluation Framework (CMEF)
- Common Monitoring and Evaluation System (CMES)
- CMES: elements with specific focus on LEADER

# Common provisions on Monitoring and Evaluation

- Regulation (EU) No 1303/2013 – Common Provisions Regulation for all ESI Funds
  - Articles 47-53: general provisions on Monitoring Committees, progress reports for programmes and partnership agreements
  - Articles 54-57: general provisions on evaluation, on ex ante and ex post evaluations and the evaluation plan:
    - Member States have to provide the necessary resources for evaluations to be carried out
    - Member States have to ensure that procedures are in place to produce and collect data necessary for evaluations
    - Evaluations have to be carried out by internal or external experts functionally independent of authorities implementing the programmes
    - During the programming period evaluations have to be carried out on basis of an evaluation plan

# Common Monitoring and Evaluation Framework (CMEF)

- Regulation (EU) No 1306/2013 – CAP Horizontal Regulation
  - Article 110 establishes a **CMEF** for the whole CAP instruments:
    - Pillar I (direct payments, market measures, horizontal instruments)
    - Pillar II (rural development measures)
- **CMEF** objective: to measure and assess the combined impact of all CAP instruments in relation to the 3 common objectives of the CAP:
  - viable food production
  - sustainable management of natural resources and climate action
  - balanced territorial development

## CMEF (2)

- The performance of the CAP in achieving its common and specific objectives will be measured and assessed on the basis of impact and result indicators
- Commission Implementing Regulation (EU) No 834/2014 on application of the **CMEF**:
  - Impact Indicators for CAP as a whole
  - Result Indicators Pillar I
  - Output Indicators Pillar I
  - Reference to Implementing Regulation (EU) No 808/2014 for Context Indicators CAP, Result and Output Indicators Pillar II

## CMEF (3)

- Commission monitors CAP measures on the basis of reporting by MS:
  - Notifications by MS on the implementation of Pillar I instruments
  - Annual Implementation Reports for the RDPs
- Evaluations of **CAP as a whole and Pillar I specific evaluations** are carried out on the basis of a multi-annual evaluation plan
- These evaluations are carried out by independent evaluators under the responsibility of the Commission

## CMEF (4)

- For **Pillar II (RDPS)**, evaluation activities, ex ante and ex post evaluations are carried out under MS responsibility
- Syntheses at EU level of ex ante and ex post evaluations are undertaken under the responsibility of the Commission
- ➔ Commission will report to the EP and Council on performance of the CAP on this basis at end 2018 and then end 2021 (CAP Horizontal Regulation)

# Common Monitoring and Evaluation System (CMES)

- Regulation (EU) No 1305/2013 – Rural Development Regulation:
  - Article 67: establishes a CMES for the rural development policy
  - Article 68: objectives of the CMES
    - Rural Development Policy addresses the needs and challenges of rural areas
    - It has to contribute to the 3 CAP general objectives and to the Europe 2020 Strategy's objectives for smart, sustainable and inclusive growth
    - Therefore, the rural development policy has to DELIVER!
    - There is a strong focus on results and impacts (and not only on outputs, on money spent!)
    - This means we need to be able to demonstrate its **progress** and **achievements** and also **LAGs** have to think on their contribution!



## CMES (2)

- That's why we have a **CMES aiming to:**
  - Assess whether the expected results and impacts are achieved in an effective and efficient manner (at EU, Member State and regional/local levels)
  - Contribute to better targetted support for rural development
  - Support a common learning process related to monitoring and evaluation
- => **improve policy performance**

## CMES (3)

- Article 69: CMES is based on common indicators but allows also for programme-specific indicators
- Article 70: information on each operation has to be recorded and kept electronically
- Article 71: beneficiaries of support and **LAGs** have to provide MA and evaluators all necessary information for M&E at operation level
- Articles 72-75: rules on Monitoring Committee and AIRs
- Articles 76-79: general provisions on evaluation, ex ante, ex post and syntheses of these evaluations

## CMES (4)

- Commission Implementing Regulation (EU) No 808/2014 (rules for the application of the RDR)
  - **Article 14 – KEY article listing the components of the CMES**
    - 1) Intervention logic** showing interactions priorities/Focus Areas/measures
      - RDP must include a summary table of the intervention logic showing the priorities and Focus Areas selected for the RDP, the quantified targets and the combination of measures to be used to achieve them, including the planned expenditure
    - 2) Common indicators: context, output, target/result (listed in annex IV)**

## CMES (5)

### 3) Common evaluation questions (listed in annex V)

- 18 FA-related: AIRs 2017 & 2019, ex post
- 3 related to other aspects of RDP (synergies among priorities and Focus Areas, Technical Assistance, National Rural Networks): AIRs 2017 & 2019, ex post
- 9 related to Union level priorities: AIR 2019 and ex post

### 4) Data collection, storage and transmission

- Key information on each operation selected & completed operations, including on each beneficiary and project to be recorded/maintained electronically
- Beneficiaries of support and **LAGs** shall provide MA/evaluators information necessary to allow M&E at RDP level

## CMES (6)

### 5) Content of the evaluation Plan in RDP (detailed in annex I, point 9 of part 1)

- **Objectives and purpose** (ensure that sufficient and appropriate evaluation activities are undertaken: to provide information needed for programme steering, for the AIRs 2017 & 2019 and ex post and ensure data availability for evaluation)
- **Governance and coordination** (description of M&E arrangements, main bodies and their responsibilities)
- **Evaluation topics and activities** (evaluate contribution of each RD Union priority to the 3 RD objectives, assessment of result and impact indicator values, assessment of net effects, thematic/cross-cutting issues, NRN, **contribution of local development strategies; planned support for evaluation at LAG level**; work needed to fill gaps, develop methodologies, address specific areas)

## CMES (7)

- **Data and information** (system to record, maintain, manage and report statistical information on RDP implementation and provision of monitoring data for evaluation; data sources/gaps)
- **Timeline** (outline of timing needed to ensure that results are available at the appropriate time)
- **Communication** (dissemination of findings and follow-up on the use of evaluation results)
- **Resources** (administrative capacity, data, financial, IT needs)

## CMES (8)

### 6) Reporting on monitoring and evaluation activities

- Progress in implementing the evaluation plan in standard AIRs (see also annex VII, point 2):
  - Modifications of the evaluation plan
  - Evaluation activities undertaken\*
  - Activities related to provision and management of data\*
  - List and summary of completed evaluations
  - Communication activities/publicising evaluation findings\*
  - Follow-up of evaluation results\*

\* Including difficulties encountered, solutions adopted/proposed

## CMES (9)

- Information from evaluation activities in AIR 2017 (see also annex VII, point 7):
  - Reporting and quantification of RDP achievements, in particular through assessment of the complementary result indicators, and relevant evaluation questions
- Information from evaluation activities in AIR 2019 (see also annex VII, point 7):
  - Same as in AIR 2017, and
  - Reporting on the progress towards the objectives of the RDP and its contribution to achieving the EU 2020 Strategy for smart, sustainable and inclusive growth in particular through assessment of the RDP's net contribution to changes in CAP impact indicator values, and relevant evaluation questions



## CMES (10)

**7) Ex ante, ex post and any other evaluation activities linked to RDPs**

**8) Technical support documents** (such as guidances) developed or to be developed by the Commission for the MS and evaluators (see non-exhaustive list in annex VI)

## CMES: elements with specific focus on LEADER

- Contrary to previous period, the CMES requires **LAGs** to conduct monitoring and evaluation activities.
- At the level of the RDP, **MA** shall describe in the evaluation plan the evaluation topics and activities, which shall include an **assessment of the contribution of local development strategies and the planned support for evaluation at LAG level** (Annex I, point 9.3 of part 1 of Reg. 808/2014)
- In this respect, MA have a role to play in providing to LAGs guidance on their monitoring and evaluation tasks and support in implementing them
- MA may also decide to cover aspects which are not mentioned in the CMES, such as the assessment of the added value of LEADER

## CMES: elements with specific focus on LEADER (2)

- **LAGs'** local development strategies must contain a **description of monitoring & evaluation arrangements** (Article 33 § 1 f) of Reg. 1303/2013)
- **LAGs** have to **monitor** the implementation of their local development strategy and the operations supported and carry out **specific evaluation activities** of their strategy (Article 34 § 3 g) of Reg. 1303/2013)
- In this regard, LAGs may develop specific evaluation questions and specific indicators for their local development strategies
- **LAGs** have to provide MA and evaluators all necessary information for M&E of the RDP (Article 71 of Reg. 1305/2013)

## CMES: elements with specific focus on LEADER (3)

- The National Rural Networks shall provide for **training/capacity building** for LAGs and share and **disseminate** monitoring and evaluation findings (Article 54 § 3 b) of Reg. 1305/2013)
- Optionally, National Rural Networks can take a more proactive role in developing and supporting a harmonized approach to the monitoring and evaluation of the local development strategies
- This will make LAG contributions to evaluation even more useful and strengthens the evidence-basis to demonstrate the achievements!



# Common Monitoring and Evaluation Framework

Information available on:

[http://ec.europa.eu/agriculture/cap-2014-2020/monitoring-evaluation/leaflet-monitoring-evaluation-framework-cap-2014-2020\\_en.pdf](http://ec.europa.eu/agriculture/cap-2014-2020/monitoring-evaluation/leaflet-monitoring-evaluation-framework-cap-2014-2020_en.pdf)

**THANK YOU FOR YOUR ATTENTION !**